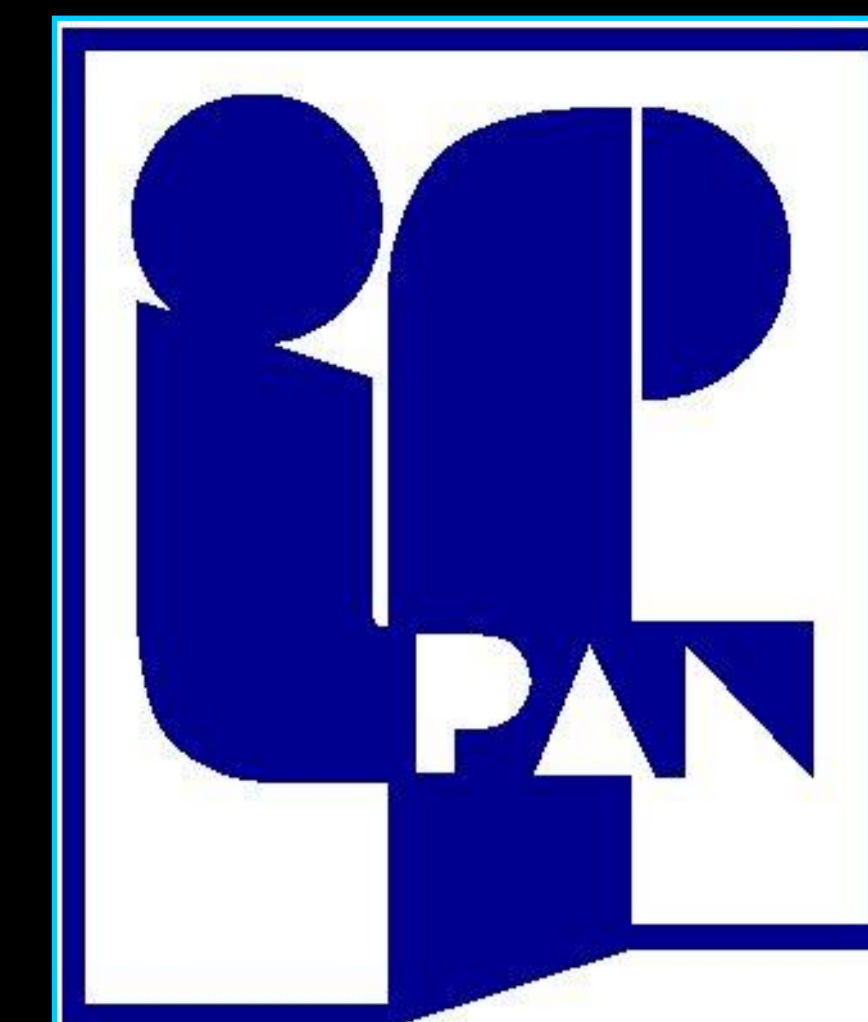


# Hybrid upconverting/magnetic Fe<sub>3</sub>O<sub>4</sub>/Gd<sub>2</sub>O<sub>3</sub>:Er<sup>3+</sup>, Yb<sup>3+</sup>, Mg<sup>2+</sup>, Nd<sup>3+</sup> nanoparticles – synthesis, characterization and biological applications

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## INTRODUCTION

Researchers are very interested in nanoparticles (NP) doped with lanthanides that show the anti-Stokes emission called upconversion (UC). These NPs can be excited by near infrared (NIR) 980 nm light, which penetrates into biological tissues to a depth of several cm. In addition, thanks to the use of such excitation medium, we do not observe autofluorescence of biological material. Upconverting NPs (UCNP) are widely used in various biological applications such as labeling, imaging [1] and photothermal therapy. UCNPs can be doped simultaneously with sensitizer ions (Yb<sup>3+</sup>) and activator ions (Er<sup>3+</sup>). The sensitizer ions absorb the NIR photons and then transfer the energy to the activator ions. Energy transfer excites the activators to their higher excited states and ultimately leads to the radiation of higher energy photons. Additional doping with neodymium ions (Nd<sup>3+</sup>) allows for the excitation of UCNPs (cross-transfer between Er<sup>3+</sup>-Yb<sup>3+</sup>-Nd<sup>3+</sup> ions) with a laser with a wavelength of 808 nm, which significantly reduces the effect of overheating of biological samples. The water absorption coefficient value at 980 nm is 0.48 cm<sup>-1</sup>, while the value at 808 nm is much lower and amounts to 0.02 cm<sup>-1</sup> [2][3].

The hybrid core/shell Fe<sub>3</sub>O<sub>4</sub>/Gd<sub>2</sub>O<sub>3</sub>: 1% Er<sup>3+</sup>, 18% Yb<sup>3+</sup>, 2.5% Mg<sup>2+</sup>, x% Nd<sup>3+</sup> NPs doped with different concentration of neodymium ions (x = 0%, 0.5%, 0.75%, 1%, 2%, 4%) were synthesized by co-precipitation method. The NPs were characterized using X-ray diffraction, transmission electron microscopy, scanning electron microscopy, energy dispersive X-ray spectroscopy, confocal microscopy and photoluminescence. Fe<sub>3</sub>O<sub>4</sub> (core) nanoparticles were 13 nm in size. Whereas the hybrid core/shell NPs had sizes ranging from 220 nm to 641 nm. The shell thicknesses were 72, 80 and 121 nm for 0.5%, 0.75% and 1% of Nd<sup>3+</sup> concentration, respectively.

The obtained core/shell NPs had a cubic Gd<sub>2</sub>O<sub>3</sub> crystal structure (symmetry group Ia-3). The UCNP efficiency properties and magnetic properties of the hybrid NPs were investigated. The intensity of the upconversion emission was increased by the addition of Nd<sup>3+</sup> ions and achieved maximum value at a neodymium ion concentration of 0.5% for the 980 nm excitation and 1% Nd<sup>3+</sup> for the 808 nm excitation. The hybrid core/shell nanoparticles were paramagnetic. At room temperature, the magnetization was 0.02 emu/g for NPs with an average shell thickness of 72 nm. The toxicity of the Fe<sub>3</sub>O<sub>4</sub>/Gd<sub>2</sub>O<sub>3</sub>: 1% Er<sup>3+</sup>, 18% Yb<sup>3+</sup>, 2.5% Mg<sup>2+</sup>, 0.5% Nd<sup>3+</sup> NPs was investigated, in the presence of HeLa tumor cells for 24h. The NPs are non-toxic up to a concentration of 1000 µg/ml and penetrate into cells in the process of endocytosis, which has been confirmed by confocal microscope studies.

1. I. Kamińska et al, Nanotechnology 2021, 32, 245705 (13pp).
2. Liu et al, Adv. Mater. 2017, 29(18), 1605434.
3. Gao et al, ACS Appl. Energy Mater. 2021, 4, 2999-3007.
4. Ge et al., CrystEngComm, 2015, 17, 5702-5709.

## NANOPARTICLES SYNTHESIS

### Synthesis of Fe<sub>3</sub>O<sub>4</sub> magnetic NPs

FeCl<sub>3</sub>·6H<sub>2</sub>O  
FeCl<sub>2</sub>·4H<sub>2</sub>O  
40 ml H<sub>2</sub>O

argon atmosphere  
5 ml ammonium hydroxide 28-30%  
1.3 g poly(ethylene glycol) PEG 4600 in 5 ml H<sub>2</sub>O  
at 90°C for 1h  
black precipitate  
washed several times in H<sub>2</sub>O and ethanol  
centrifugation parameters: 6000 rpm, 15°C, 15 minutes  
dried in laboratory oven overnight



### Synthesis of the hybrid Fe<sub>3</sub>O<sub>4</sub>/Gd<sub>2</sub>O<sub>3</sub>:Er<sup>3+</sup>, Yb<sup>3+</sup>, Mg<sup>2+</sup>, Nd<sup>3+</sup> NPs

30 mg Fe<sub>3</sub>O<sub>4</sub>  
100 ml H<sub>2</sub>O  
Sonicated for 30 minutes

#### HOMOGENEOUS PRECIPITATION METHOD

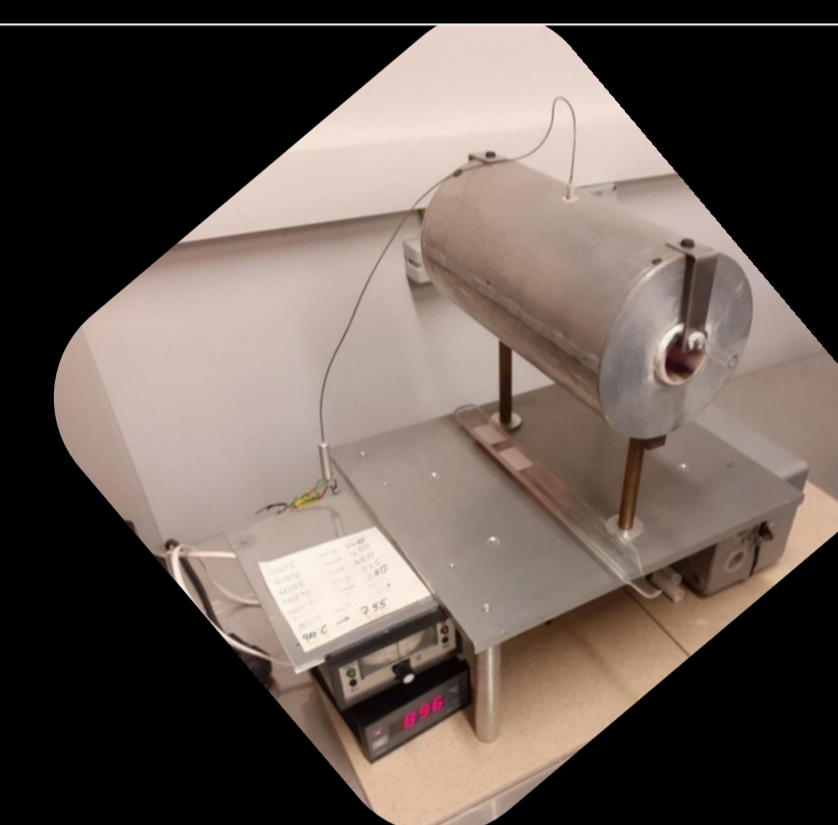
##### Oxidants

Gd(NO<sub>3</sub>)<sub>3</sub> · 6 H<sub>2</sub>O  
Nd(NO<sub>3</sub>)<sub>3</sub> · 6 H<sub>2</sub>O  
Mg(NO<sub>3</sub>)<sub>2</sub> · 6H<sub>2</sub>O  
Er(NO<sub>3</sub>)<sub>3</sub> · 5 H<sub>2</sub>O  
Yb(NO<sub>3</sub>)<sub>3</sub> · 5 H<sub>2</sub>O

##### Reducer

CO(NH<sub>2</sub>)<sub>2</sub>

at 90°C for 2h  
washed five times in deionized water  
centrifugation parameters: 6000 rpm, 15°C, 15 minutes  
white-brown precipitate was dried in a laboratory oven overnight  
calcined in an oven, in air for 2h at 700°C



## NANOPARTICLE SIZE BY SCANNING ELECTRON MICROSCOPY

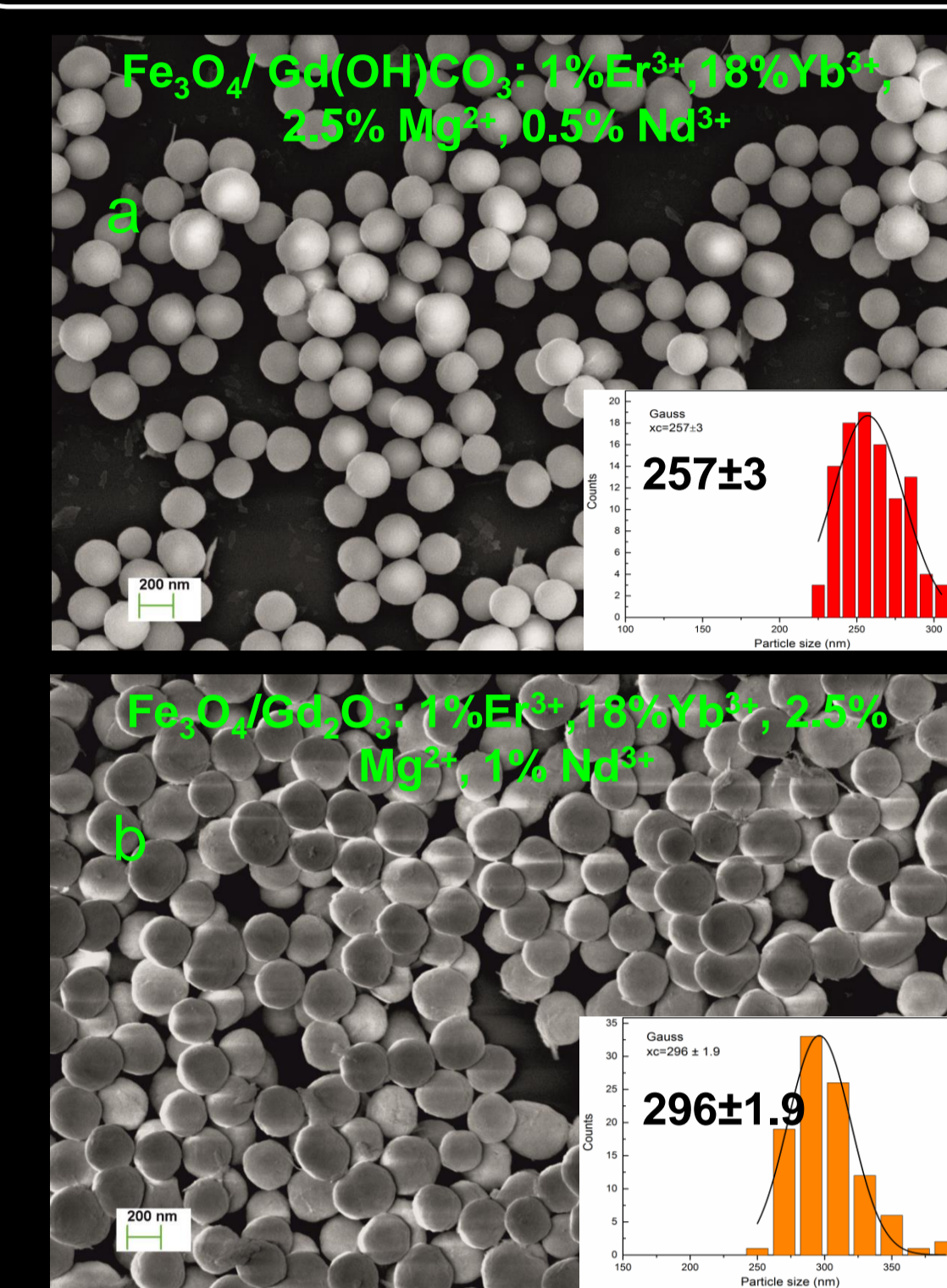


Figure 5. SEM images of the a) Fe<sub>3</sub>O<sub>4</sub>/Gd(OH)CO<sub>3</sub>: 1%Er<sup>3+</sup>, 18%Yb<sup>3+</sup>, 2.5% Mg<sup>2+</sup>, 0.5% Nd<sup>3+</sup> and b) Fe<sub>3</sub>O<sub>4</sub>/Gd<sub>2</sub>O<sub>3</sub>: 1%Er<sup>3+</sup>, 18%Yb<sup>3+</sup>, 2.5% Mg<sup>2+</sup>, 1% Nd<sup>3+</sup> NPs (calcined in air, for 2h at 700°C). Inserts: Size distribution histograms of the NPs.

## TRANSMISSION ELECTRON MICROSCOPY

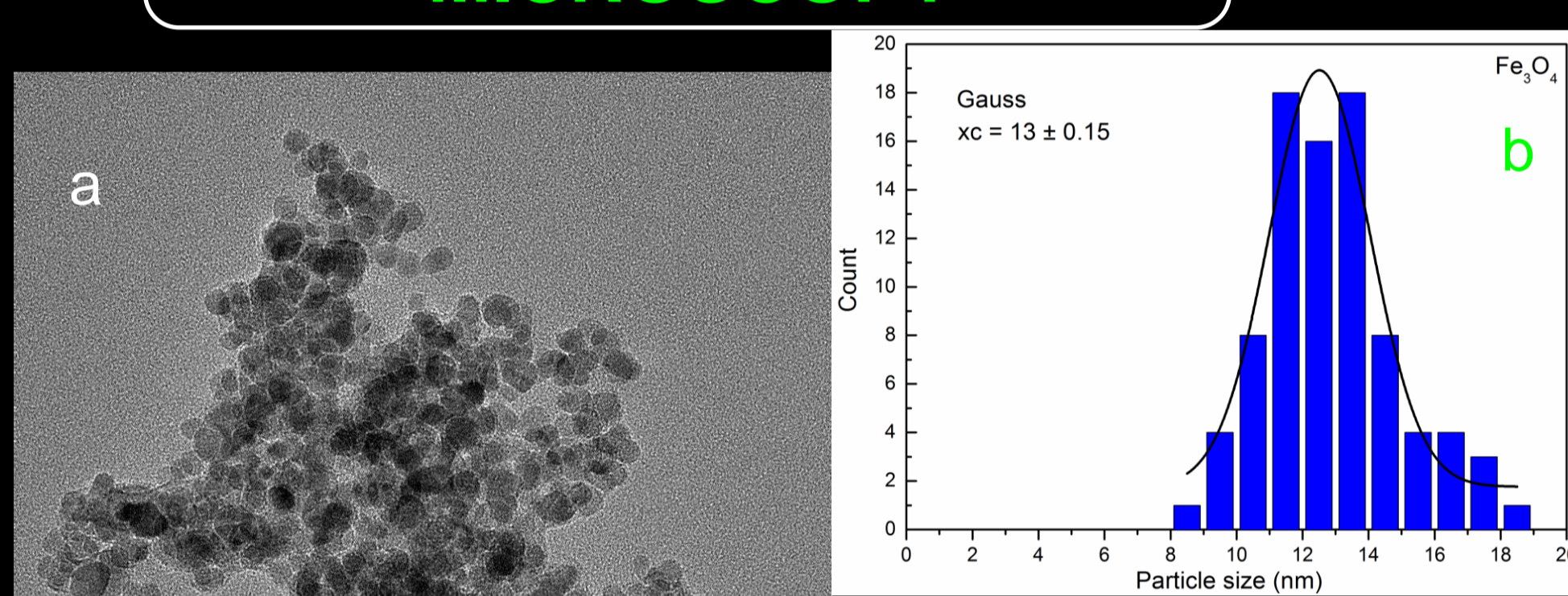


Figure 1. a) TEM image of Fe<sub>3</sub>O<sub>4</sub> NPs b) Size distribution histogram of the NPs.

## UPCONVERSION MECHANISM

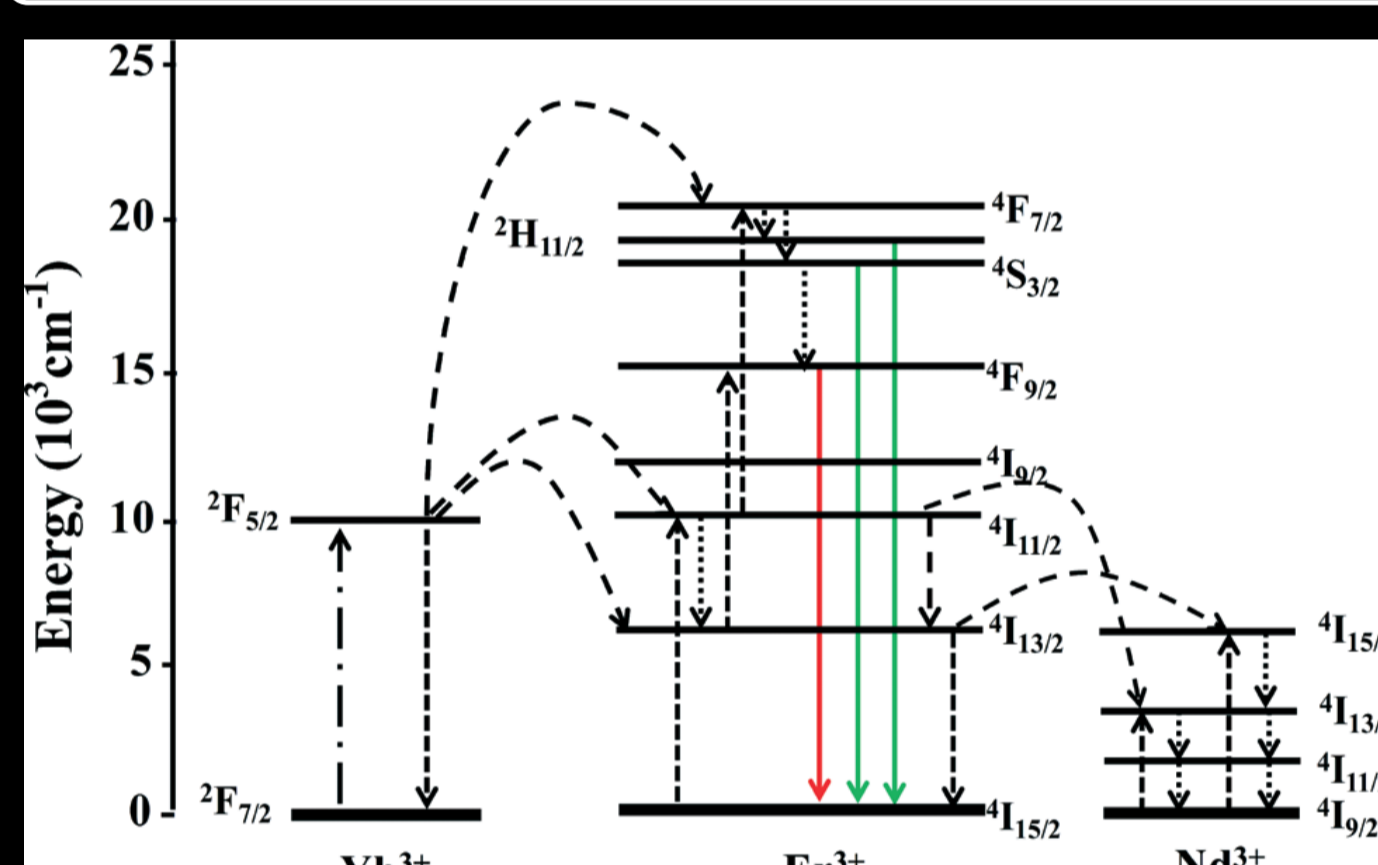
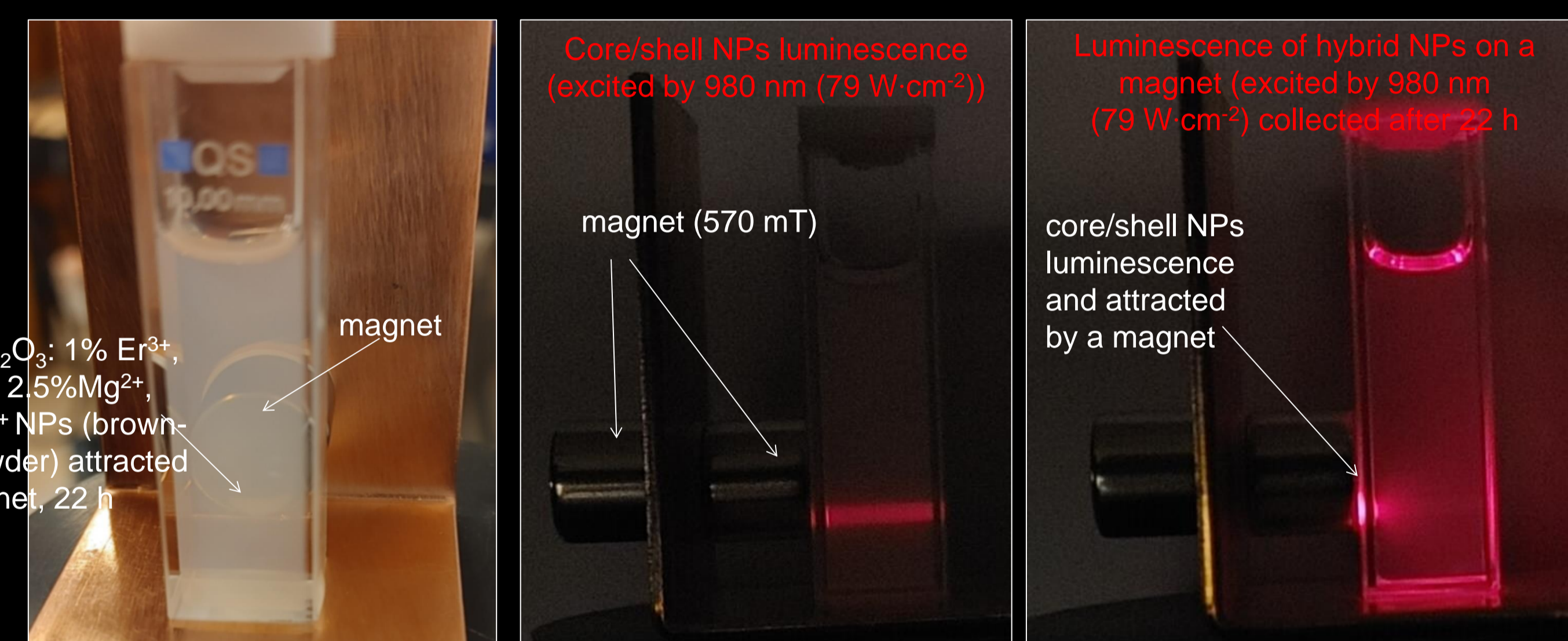


Figure 3. The proposed energy transfer mechanisms under 980 nm CW laser excitation in Fe<sub>3</sub>O<sub>4</sub>/Gd<sub>2</sub>O<sub>3</sub>: 1% Er<sup>3+</sup>, 18% Yb<sup>3+</sup>, 2.5% Mg<sup>2+</sup>, 0.5% Nd<sup>3+</sup> nanoparticles. The dashed-dotted, dashed, dotted, and full arrows represent photon excitation, energy transfer, multiphonon relaxation, and emission process, respectively [4].

## Fe<sub>3</sub>O<sub>4</sub>/Gd<sub>2</sub>O<sub>3</sub>: 1% Er<sup>3+</sup>, 18%Yb<sup>3+</sup>, 2.5%Mg<sup>2+</sup>, 0.5% Nd<sup>3+</sup> NPs ATTRACTED BY A MAGNET (NdFe)



## X-RAY DIFFRACTION

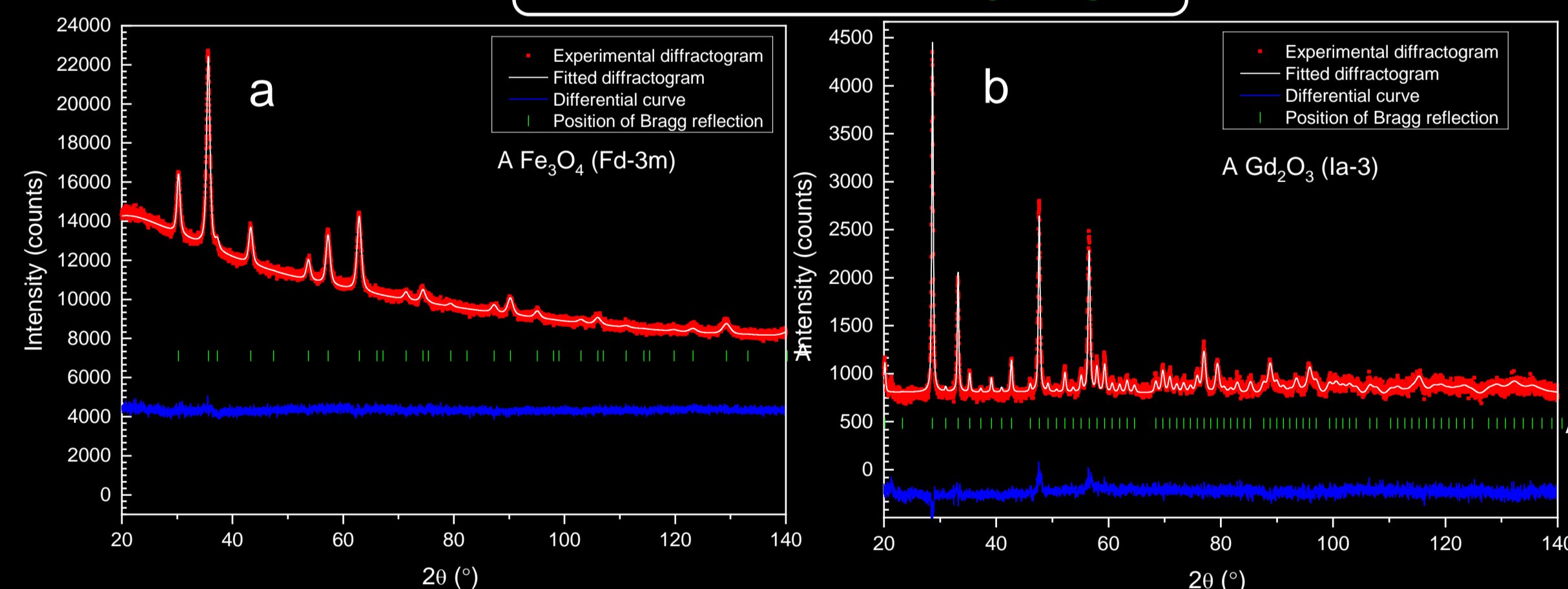


Figure 4. Experimental diffraction patterns measured for a) Fe<sub>3</sub>O<sub>4</sub> NPs and b) Fe<sub>3</sub>O<sub>4</sub>/Gd<sub>2</sub>O<sub>3</sub>: 1% Er<sup>3+</sup>, 18% Yb<sup>3+</sup>, 2.5% Mg<sup>2+</sup>, 0.5% Nd<sup>3+</sup> NPs and matched by the means of the Rietveld method theoretical diffraction patterns. Symbols: (•) experimental and (—) fitted diffraction patterns, (---) differential curve and (|) positions of Bragg reflections (coming from Fe<sub>3</sub>O<sub>4</sub> and Gd<sub>2</sub>O<sub>3</sub> phase, respectively).

## ENERGY DISPERSIVE X-RAY SPECTROSCOPY

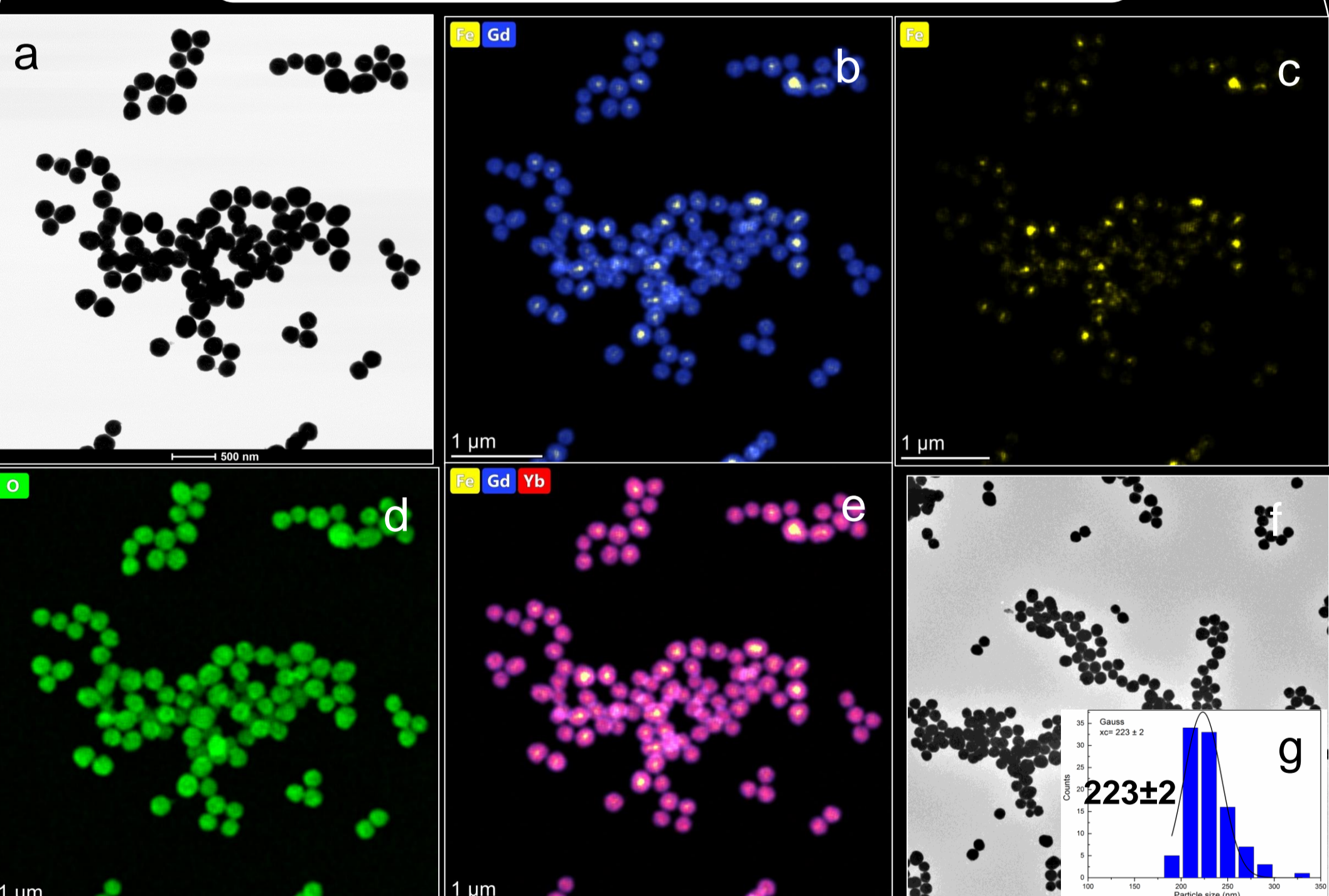


Figure 2. TEM images of Fe<sub>3</sub>O<sub>4</sub>/Gd<sub>2</sub>O<sub>3</sub>: 1% Er<sup>3+</sup>, 18% Yb<sup>3+</sup>, 2.5% Mg<sup>2+</sup>, 0.5% Nd<sup>3+</sup> nanoparticles a) in bright field. The distribution maps of the elements of the NPs b) Fe and Gd c) Fe O e) Fe, Gd and Yb. f) TEM image of the nanoparticles. g) Size distribution histogram of the NPs.

## PHOTOLUMINESCENCE

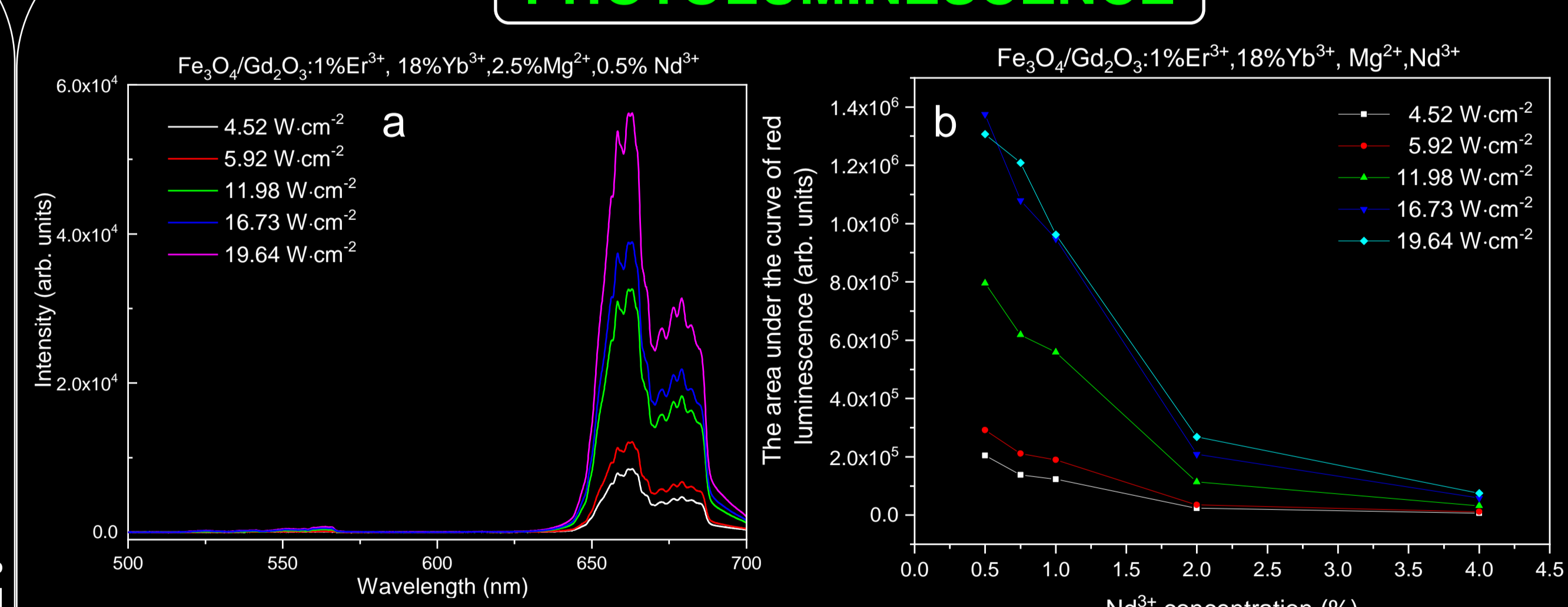


Figure 6. a) The photoluminescence spectra of the Fe<sub>3</sub>O<sub>4</sub>/Gd<sub>2</sub>O<sub>3</sub>: 1%Er<sup>3+</sup>, 18%Yb<sup>3+</sup>, 2.5%Mg<sup>2+</sup>, 0.5%Nd<sup>3+</sup> NPs. The NPs were excited with five power densities of a semiconductor laser with a wavelength of 980 nm. b) Area under the curve of red luminescence as a function of neodymium ion concentration for the NPs.

## MAGNETIC PROPERTIES

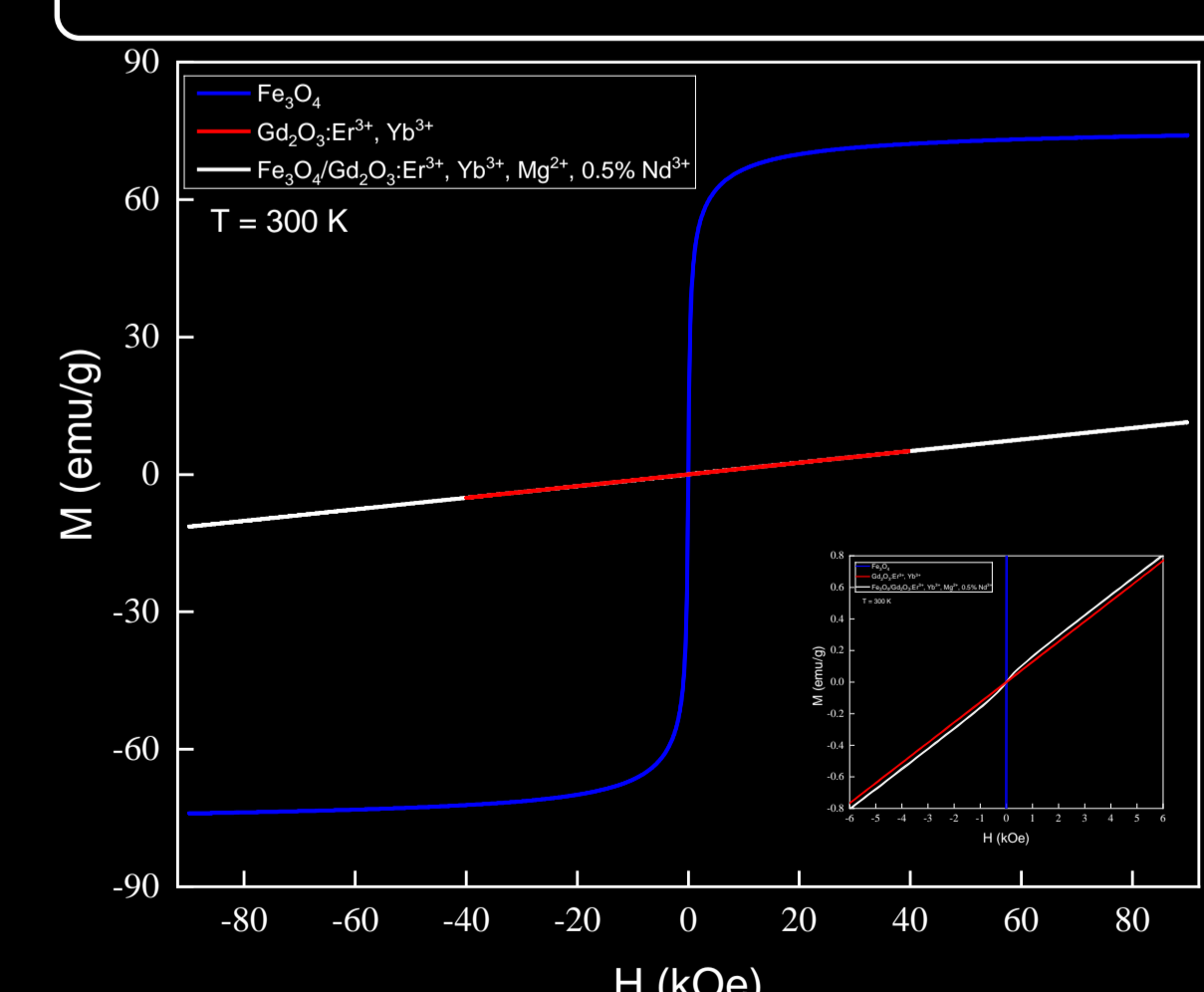


Figure 9. Magnetization curves for three samples: Fe<sub>3</sub>O<sub>4</sub>, Gd<sub>2</sub>O<sub>3</sub>: 1%Er<sup>3+</sup>, 18% Yb<sup>3+</sup> and Gd<sub>2</sub>O<sub>3</sub>: 1%Er<sup>3+</sup>, 18% Yb<sup>3+</sup>, 2.5% Mg<sup>2+</sup>, 0.5% Nd<sup>3+</sup> NPs measured at 300 K.

## TOXICITY TEST OF NPs

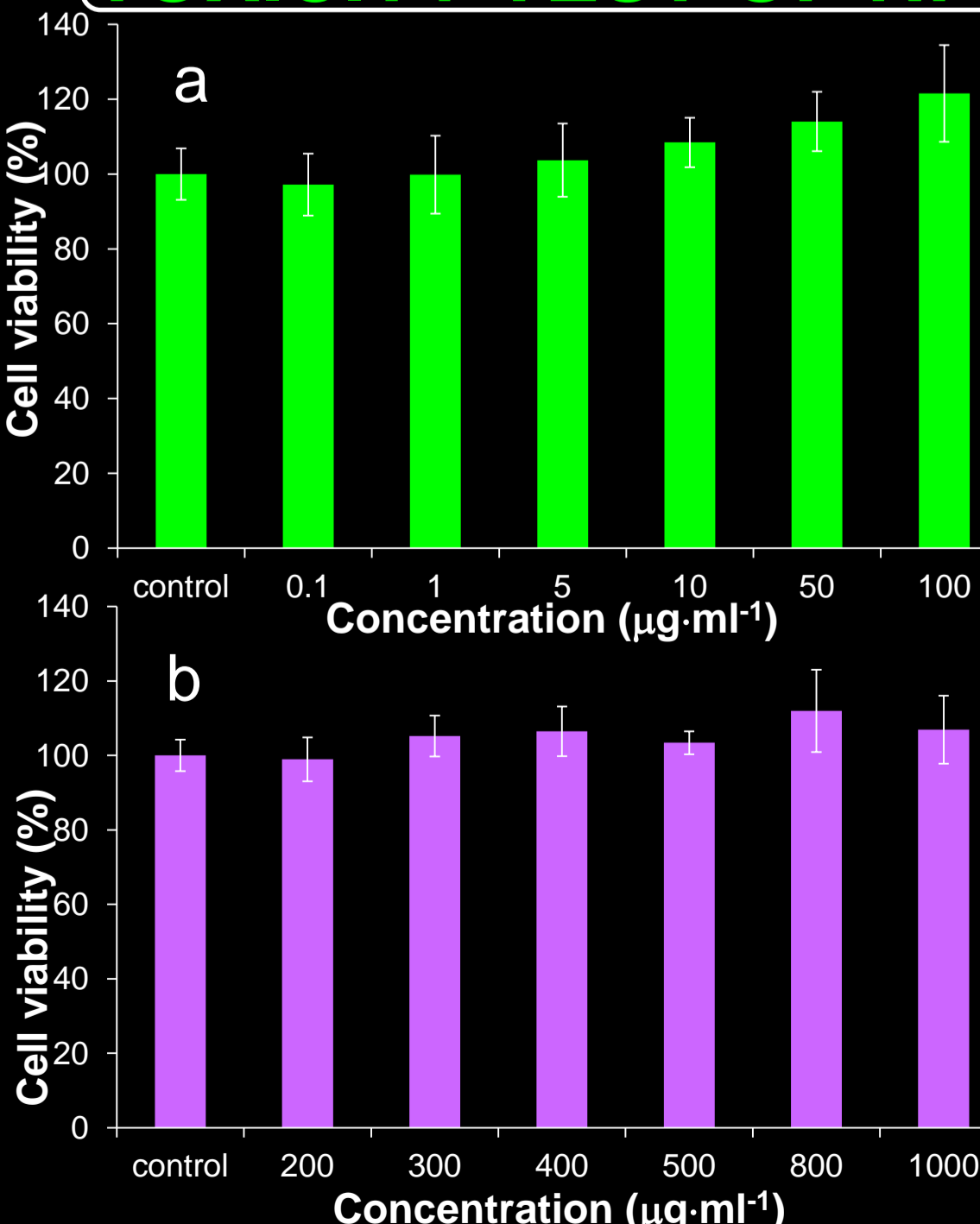


Figure 7. Cell viability of HeLa cells after 24h incubation with twelve concentrations of Fe<sub>3</sub>O<sub>4</sub>/Gd<sub>2</sub>O<sub>3</sub>: 1%Er<sup>3+</sup>, 18% Yb<sup>3+</sup>, 2.5% Mg<sup>2+</sup>, 0.5% Nd<sup>3+</sup> NPs as determined by PrestoBlue assay.

## CELL CULTURE AND CONFOCAL IN VITRO IMAGING

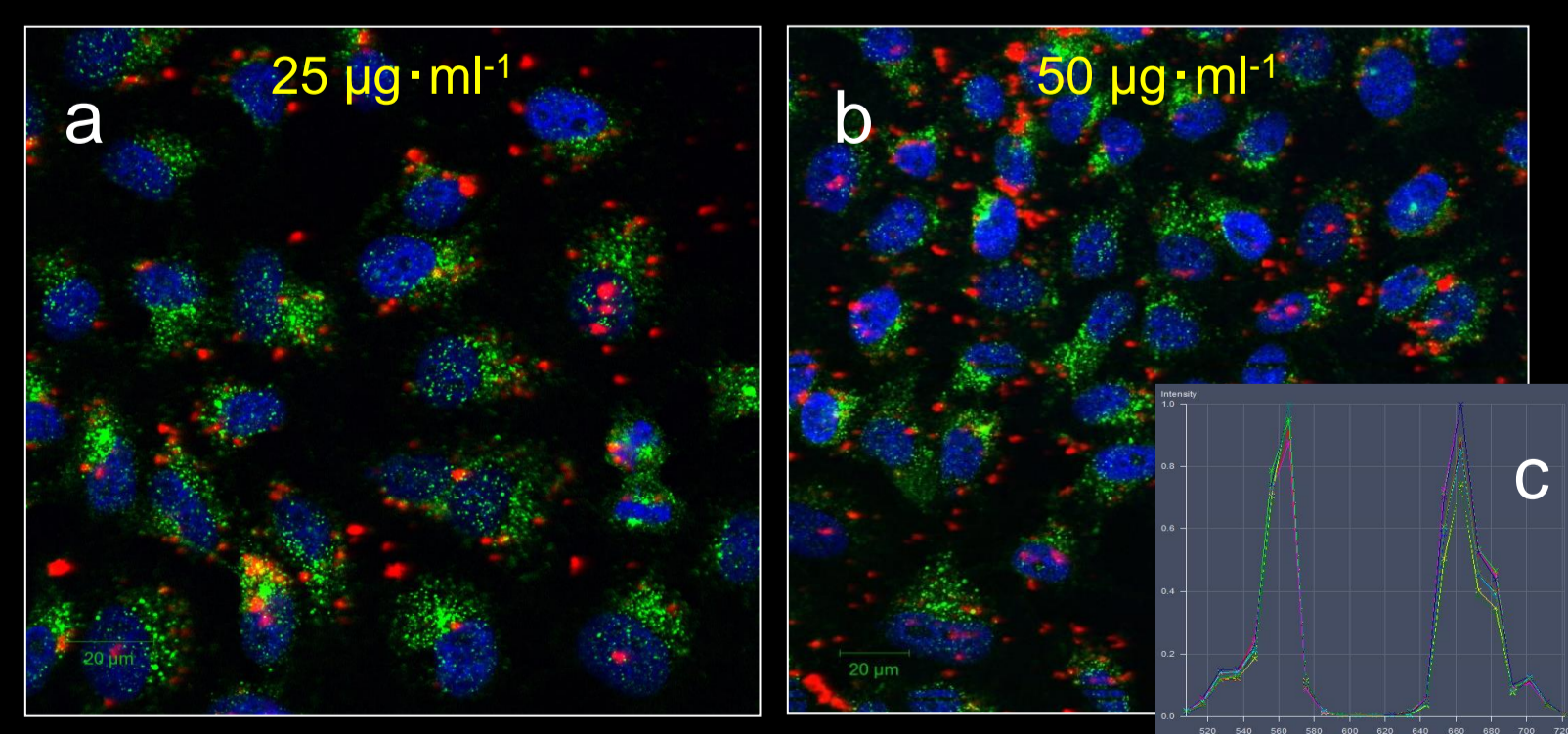


Figure 8. Confocal images of HeLa cancer cells after 24 h incubation in a solution with a) 25 µg·ml<sup>-1</sup> and b) 50 µg·ml<sup>-1</sup> of Fe<sub>3</sub>O<sub>4</sub>/Gd<sub>2</sub>O<sub>3</sub>: 1% Er<sup>3+</sup>, 18% Yb<sup>3+</sup>, 2.5% Mg<sup>2+</sup>, 0.5% Nd<sup>3+</sup> NPs. The NPs were excited with a 980 nm femtosecond laser (observed as red spots and d) colorful indicators). The HeLa cells were marked using immunofluorescence method. Antibodies conjugated with AlexaFluor 488 dye (excited by 488 nm argon laser) were attached to the lysosomes. The signal was collected in the range from 496 to 570 nm (observed as a green regions). Nuclei stained with Hoechst 33 342 dye were excited with a wavelength of 705 nm (blue color). The signal was collected in the range from 423 to 475 nm. The images are a superposition of NPs luminescence, marked cells fluorescence, and nuclei fluorescence. c) The spectra of NPs were excited by a femtosecond laser at a wavelength of 980 nm and average laser power of 10%.

## Acknowledgements

This work has been done in the NanoFun laboratories co-financed by the European Regional Development Fund within the Innovation Economy Operational Program, the Project no. POIG.02.02.00-00-025/09/. The research was funded by the Miniatura project of the National Science Centre (DEC-2022/06/X/ST5/00026).

## CONCLUSIONS

1. Optical-magnetic core/shell NPs were synthesized by homogeneous precipitation synthesis.
2. The nanoparticles show emission in the visible region (562 nm and 662 nm) when excited with a semiconductor laser with a wavelength of 980 nm and 808 nm.
3. The hybrid nanoparticles range in size from 220 to 641 nm, depending on the neodymium ion concentration.
4. The produced Fe<sub>3</sub>O<sub>4</sub> nanoparticles have a cubic crystal structure (symmetry group Fd-3m). Whereas the obtained Fe<sub>3</sub>O<sub>4</sub>/Gd<sub>2</sub>O<sub>3</sub>: 1% Er<sup>3+</sup>, 18% Yb<sup>3+</sup>, 2.5% Mg<sup>2+</sup>, 0.5% Nd<sup>3+</sup> nanoparticles have a cubic Gd<sub>2</sub>O<sub>3</sub> crystal structure (symmetry group Ia-3).
5. Nanoparticles contain elements such as iron (Fe) and oxygen (O). To confirm the presence of elements such as iron (Fe), gadolinium (Gd), oxygen (O) and ytterbium (Yb) EDX maps were made.
6. The core/shell nanoparticles are paramagnetic.
7. For the magnetic field strength H=100 Oe, the magnetization for Fe<sub>3</sub>O<sub>4</sub> NPs is 12 emu/g, for Gd<sub>2</sub>O<sub>3</sub>: 1%Er<sup>3+</sup>, 18% Yb<sup>3+</sup> is 0.013 emu/g, and for the hybrid core/shell NPs is 0.02 emu/g.
8. Nanoparticles penetrate into HeLa cancer cells by endocytosis, which was confirmed by confocal microscopy studies.
9. The core/shell nanoparticles are non-toxic to cells up to a concentration of 1000 µg/ml, which was confirmed by studies using the PrestoBlue test.